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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>H04B 3/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/02976</b> <b>(43) International Publication Date:</b> 22 January 1998 (22.01.98)
<b>(21) International Application Number:</b> PCT/US97/12392 <b>(22) International Filing Date:</b> 16 July 1997 (16.07.97)  <b>(30) Priority Data:</b> 196 28 849.5      17 July 1996 (17.07.96)      DE Not furnished      16 July 1997 (16.07.97)      US  <b>(71) Applicant:</b> AMERICAN TECHNOLOGY CORPORATION [US/US]; 12725 Stowe Drive, Poway, CA 92064 (US).  <b>(72) Inventors:</b> RAIDA, Hans-Joachim; Hintern Hofanger A3, D-82024 Taufkirchen (DE). BSCHORR, Oskar; Keplerstrasse AA, D-81679 München (DE).  <b>(74) Agents:</b> NORTH, Vaughn, W. et al.; Thorpe, North & Western, L.L.P., 9035 South 700 East, Sandy, UT 84070 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> DIRECTED RADIATOR WITH MODULATED ULTRASONIC SOUND  <b>(57) Abstract</b> <p>An ultrasonic beam (19) is used as a virtual array for an acoustic directional transmitter (11). The acoustic useful signal (from 17) is modulated upon the ultrasonic beam (19) as carrier via amplitude modulation, for example. The absorption of the ultrasonic power produces thermal expansion of the air and thus acoustic monopole radiation. At the same time, radiation pressure is released, resulting in dipole radiation. The superimposition of monopole and dipole produces a marked directivity characteristic. Since the ultrasonic sound possesses the same propagation velocity as the useful sound, the monopole and dipole radiation takes place within the virtual array correctly in terms of transit time, resulting in radiation, that is directed extremely in the propagation direction. The effective array length can be adjusted over a wide range using the absorption coefficient that is a function of the carrier-frequency and, in extreme cases, a very punctual acoustic radiation can be realized at a wide distance.</p> <div style="text-align: center;"> </div>		

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## DIRECTED RADIATOR WITH MODULATED ULTRASONIC SOUND

## BACKGROUND OF THE INVENTION

5       The subject of the Invention is a sound generator that generates directional low-frequency useful sound via a modulated ultrasonic beam. On the other hand, conventional sound generators (such as loudspeakers, sirens, air-modulated devices, etc.) essentially  
10       function as monopole sources. As a rule, loudspeakers require a large-volume housing for acoustically effective radiation with low frequencies. Directional radiation at medium and low frequencies is only possible using a cumbersome array set-up of several monopole  
15       sources with expensive, frequency-dependent control of the individual monopole sources being required, however. The object of the invention at hand is creating a sound generator having small dimensions that operates along an adjustable virtual array having any length and thereby  
20       making extremely directed useable sound radiation possible. In accordance with the invention, the ultrasonic generator emits an ultrasonic cone having carrier frequency  $\Omega$  which is also modulated with modulation frequency  $\omega$ , with  $\Omega$  being greater than  $\omega$ .  
25       The beam angle of the ultrasonic cone is assumed to be small in the following, so that the transverse dimensions of the cone within the effective range of the ultrasonic sound are small as compared with the wavelengths to be radiated. During propagation,  
30       ultrasonic power  $N_0$  emitted by the ultrasonic generator diminishes exponentially as a result of absorption. The sound power modulated harmonically with frequency  $\omega$  along the ultrasonic beam is as follows, taking the transit-induced retardation into consideration:

35

$$N(x, t) = \frac{N_0}{2} (1 - \sin(\omega(t - x/c))) e^{-\alpha x}$$

with:  $N(x,t)$ : Sound power along the ultrasonic  
cone

$N_0(t)$ : Sound power emitted by directional  
transmitter

5

$x$ : Path coordinate in propagation  
direction

$t$ : Time

$c$ : Velocity of sound

10

$x/c$ : Transit time-induced retardation

$\alpha$  Absorption coefficient with carrier  
frequency  $\Omega$

Ultrasonic power can be modulated in various ways.

15 Thus, the ultrasonic amplitude of the carrier signal can  
be modulated. Depending upon the degree of modulation,  
undesired ambient noise can occur, which can be  
prevented using known measures (such as predistortion,  
etc.). Another possibility is frequency modulation, for  
20 example via two ultrasonic generators oscillating at  
different frequencies. The ultrasonic power can also be  
modulated by modulating carrier frequency  $\Omega$  and, thus,  
the absorption coefficient  $\alpha$ . In doing this, it must be  
taken into consideration that the absorption coefficient  
25 does not depend linearly on the carrier frequency. The  
modulation can also be carried out by influencing the  
ultrasonic sound reactively or resistively, for example  
by using resonators and/or absorbers. The variation  
types of modulation can be combined. The absorbed  
30 ultrasonic power along distance  $dx$  is as follows:

$$\frac{dN_{Abs}(x,t)}{dx} = \alpha \frac{N_0}{2} (1 - \sin(\omega(t-x/c))) e^{-\alpha}$$

The absorbed ultrasonic power  $dN_{Abs}(x,t)$  produces  
35 local warming and a volume change of the ambient medium  
(monopole radiation) as well as radiation pressure which  
exerts a force on the ambient medium (dipole radiation).

The source strength of the monopole  $dQ(x, t)$  and the force  $dF(x, t)$  of the dipole are as follows:

$$dQ(x, t) = \frac{K-1}{\kappa} \frac{dN_{Abs}(x, t)}{p_o} \quad dF(x, t) = \frac{dN_{Abs}(x, t)}{c}$$

5

with: K: Adiabatic exponent of the ambient medium

$p_o$ : Ambient pressure

10 The useful sound pressure components of the monopole and dipole sources superpose producing an amplification in the direction of the ultrasonic propagation. In the opposite direction weakening of the useful sound radiation occurs. In the case of an  
15 ultrasonic cone, referred to as "ultrasonic beam" in the following, this acts like a long virtual array of individual monopole and dipole sources due to the absorption which is only gradual. Characteristic array length  $L$  and half-life distance  $L_{0.5}$ , (within which up to  
20 one half of the ultrasonic power is absorbed are determined by the absorption coefficient  $\alpha$ .

$$L = \frac{1}{\alpha} \quad L_{0.5} = \frac{\ln(2)}{\alpha}$$

25 The absorption coefficient is  $\alpha = 0.03$  to  $1 \text{ m}^{-1}$  for ultrasonic frequencies  $\Omega = 10$  to  $200 \text{ kHz}$ , which corresponds to a characteristic array length adjustable from  $L = 33$  to  $1 \text{ m}$ . Owing to the transit time of the ultrasonic beam, the areas of the array radiate to each  
30 other in a time-displaced manner, producing strongly directional useful sound radiation in the propagation direction of the ultrasonic beam ("end fired line" Olson, Elements of Acoustical Engineering, Nostrand Company, Mc. Princeton, 1957). Overtones can be used in  
35 a concerted manner in order to increase absorption and

thereby reduce characteristic array length  $L$ . The possibility of using broad band ultrasonic sound as a carrier also exists in addition to a single or several carrier frequencies. The resulting useful sound pressure at a test point in a free field (far field approximation) follows for an effective array length  $l$ :

$$p(r, \theta, \omega, t) = \int_{\dot{Q}(0)}^{\dot{Q}(l)} \frac{\rho dQ(t-x/c-(r-x\cos\theta)/c)}{4\pi r} + \int_{\dot{F}(0)}^{\dot{F}(l)} \frac{dF(t-x/c-(r-x\cos\theta)/c)}{4\pi rc} \cos(\theta)$$

with:  $\rho$ : Equals density of air

$r$ : Distance from the directional transmitter to the test point

$\theta$ : Angle between test point and ultrasonic beam

Useful sound pressure  $p$  is retarded, on the one hand, by time  $x/c$  (transit time of the ultrasonic sound from emission point  $x = 0$  to radiation location  $x$ ) as well as by time  $(r-x\cos\theta)/c$  (transit time from radiation location to test point). The following formulas are given in general for the asymptotic case  $l \rightarrow \infty$ . The following is produced for the useful sound pressure (far field approximation) with absorbed sound power  $dN_{abs}(x, t)$ :

30

$$\hat{p}(r, \theta, \omega) = \frac{N_0 \omega (\kappa(1+\cos\theta) - 1)}{8\pi \kappa r c^2 \sqrt{1 + \left(\frac{\omega}{\alpha c} (1-\cos\theta)\right)^2}}$$

The directivity characteristic  $R$  follows:

$$R(\theta, \omega) = \frac{\kappa(1+\cos\theta)-1}{2\kappa-1} - \frac{1}{\sqrt{1 + \left(\frac{\omega}{\alpha c}(1-\cos\theta)\right)^2}}$$

A useful sound frequency-dependent carrier frequency  $\Omega$  makes it possible for the ratio of the characteristic array length  $L$  to the useful sound wave length  $\lambda$  and thus the useful sound directivity characteristic  $R$  to be the same with all frequencies. In contrast to the case of a free field, with tube installation, the useful sound pressure amplitude in the emission direction of the ultrasonic cone is independent on angular frequency  $\omega$ . In calculating the free-field characteristic it was presumed that the ultrasonic sound propagates along a beam. This model is sufficient as long as the cone width of the beam is small as compared with the wave length of the released useful sound. In the case of larger cone widths, an additional directional effect occurs due to the sectional perpendicular planes that are vibrating almost in-phase to the propagation direction. This directional effect is all the greater, the greater the local ratio of the ultrasonic cone width to the modulation wave length becomes. This directional effect is amplified if several parallel offset ultrasonic generators are used. The forward/reverse ratio of the useful sound is as follows:



$$\frac{\hat{p}(\theta=0^\circ, \omega)}{\hat{p}(\theta=180^\circ, \omega)} = (2\kappa-1) \sqrt{1 + \left(2 \frac{\omega}{\alpha c}\right)^2}$$

An additional monopole source can be used for  
 5 influencing the directivity coefficient. The additional  
 monopole can also be realized directly at the emission  
 location by partial absorption of the ultrasonic sound.  
 Another possibility consists of influencing the reverse  
 dipole radiation using structural measures, such as  
 10 encapsulation. Owing to the short ultrasonic wave  
 lengths, this can be accomplished using small-volume  
 measures. If the directional transmitter is installed  
 in a tube, the resulting useful sound pressure (one-  
 dimensional wave propagation being presumed) is  
 15 calculated as follows:

$$p(\vec{r}, \omega) = \frac{(\kappa-1 + \kappa \text{sign}(\vec{x}\vec{t})) N_0 \left(\frac{\omega}{\alpha c}\right) \cos(\omega t) (1 - \text{sign}(\vec{x}\vec{t})) - \sin(\omega t)}{4\kappa S c \left[1 + \left(\frac{\omega}{\alpha c}\right)^2 (1 - \text{sign}(\vec{x}\vec{t}))^2\right]}$$

Due to the fact that the directional transmitter  
 does not function as a point source, rather it radiates  
 along a virtual array, depending upon the absorption  
 20 coefficient or carrier frequency, bundling of the wave  
 propagation (one, two, three-dimensional sound field)  
 etc., the useful sound pressure level in a free field  
 does not drop proportionally  $1/r$  in the proximity of the

ultrasonic source as is the case with conventional sound generators. On the other hand, the useful sound pressure amplitude can possess any desired course in the propagation direction. It can drop, be held constant  
5 over a certain distance, or increase or possess a maximum in a certain distance. In the case of one-dimensional wave propagation (a tube for example), the useful sound pressure amplitude increases with the distance to the emission point. Piezoelectric sound  
10 generators are used in order to generate high ultrasonic power, these sound generators are coupled to resonators to increase the radiated power (air ultrasonic vibrator). In addition to the ultrasonic generators that are known per se, pneumatic ultrasonic generators  
15 such as the Galton whistle, Hartmann generator, Boucher whistle, vortex whistles, Pohlmann whistles and ultrasonic sirens for generating ultrasonic power are particularly suited. The subject of the invention is explained in more detail on the basis of the  
20 embodiments.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The above and other objects, features and advantages of the invention will become apparent from a  
25 consideration of the following detailed description

presented in connection with the accompanying drawings in which:

FIG. 1 directional transmitter with piezoelectric elements, modulation via voltage control.

5        FIG. 2 represents a directional transmitter with ultrasonic siren, axial-flow compressor, apertured-disk modulation and parabolic reflector.

FIG. 3 depicts a directional transmitter with ultrasonic siren, centrifugal compressor and choke  
10 modulation.

FIG. 4 shows a directional transmitter with side channel compressor and choke modulation.

FIG. 5 depicts a directional transmitter with two rotating toothed gear, amplitude modulation via  
15 switchable absorber chambers, bundling of the ultrasonic sound via an exponential horn.

FIG. 6 shows a directional transmitter with one rotating toothed gear amplitude modulation via a Helmholtz resonator, bundling of the ultrasonic sound  
20 via a parabolic reflector.

The following designations are applicable to all figures (the respective figure number shall be inserted for x):

25	x 1 Directional transmitter	x 4 Rotor
	x 2 Ultrasonic generator	x 5 Stator
	x 3 Modulation unit	x 6 Actuation

Additional designations with higher numbers (x7, x8 refer to the details of the individual drawings.

#### DETAILED DESCRIPTION

5           Reference will now be made to the drawings in which the various elements of the present invention will be given numeral designations and in which the invention will be discussed so as to enable one skilled in the art to make and use the invention. Referring to FIG. 1,  
10   there is shown a directional transmitter 11 is depicted as a megaphone. Ultrasonic generation takes place via piezoelectric elements 12. The actuation 16 of the piezoelements is comprised of a power supply which is used simultaneously as a modulation unit 13. The voice  
15   signal of the speaker 17 to be emitted is fed by a series-connected microphone 18 of the modulation unit 13.

          Referring now to FIG. 2, the pneumatically operating directional transmitter 21 is comprised in  
20   this case of an ultrasonic siren combined with an axial-flow compressor or axial blower as an ultrasonic generator 22. The axial-flow compressor is driven by an actuator 26a, which rotates a rotor 24 along with a running wheel. The rotor 24 and the stator 25 modulate  
25   the exiting volume flow with carrier frequency  $\Omega$ . There is an apertured disk 27 that is driven by a second

actuator 26b as modulation unit 23, which provides low-frequency modulation of the exiting volume flow. The parabolic reflector 28 bundles the ultrasonic sound.

Referring now to FIG. 3, the pneumatically  
5 operating directional transmitter 31 is comprised in this case of an ultrasonic siren combined with a centrifugal compressor or blower as an ultrasonic generator 32. The centrifugal compressor is comprised of a rotor 34 and an actuator 36. In order to modulate  
10 the exiting volume flow with carrier frequency  $\Omega$ , the stator 35 is connected on the load side. A series-connected choke valve is used here as a modulation unit 33, which provides low-frequency modulation of the volume flow to the centrifugal compressor.

15 Referring now to FIG. 4, the pneumatically operating directional transmitter 41 is comprised in this case of a side channel compressor. The side channel compressor is comprised of a running wheel 47 driven by actuator 46, which conveys the air into the  
20 side channel 48 in the direction of the arrow. In the side channel, the so-called interrupter 49 makes sure that no reflux takes place. Carrier frequency  $\Omega$  is a function of the number of revolutions and the partitioning of the running wheel. The low-frequency  
25 amplitude modulation is realized by a choke valve 43 that is connected on the load side.

Referring now to FIG. 5, the directional transmitter 51 is comprised in this case of two quickly rotating toothed gears 52 which pulsatingly convey a volume flow with carrier frequency  $\Omega$ . The openings to  
5 an absorber 57 are opened or closed by a slider 53 for low-frequency amplitude modulation of the volume flow. The emitted ultrasonic sound is bundled via the adjacent horn 58.

Referring now to FIG. 6, the directional  
10 transmitter 61 is comprised in this case of a quickly rotating impeller wheel 62 which pulsatingly conveys a volume flow with carrier frequency  $\Omega$  flow-dynamically. The opening to a Helmholtz resonator 67 is opened or closed by a slider 63 for amplitude modulation of the  
15 exiting volume flow. The emitted ultrasonic sound is bundled via the adjacent parabolic reflector 68.

**CLAIMS**

What is claimed is:

1. A method for propagating audible sound from an ultrasonic emitter, comprising the steps of:

5 a) emitting ultrasonic sound as a carrier source for the audible sound to be propagated;

b) modulating the ultrasonic sound by controlled variation of absorption of ultrasonic power along the beam within a propagating medium to develop a virtual  
10 array of monopole and dipole radiating sources operable within an audible frequency range; and

c) propagating audible sound waves having a primary direction of propagation along the beam as a consequence of retarded absorption of the ultrasonic power along the  
15 beam and corresponding to at least one desired frequency within the audible frequency range.

2. A method as defined in claim 1, comprising the more specific step of modulating the at least one ultrasonic  
20 beam by modulating ultrasonic power absorption during propagation along the beam to develop the desired audible time signal.

3. A method as defined in claim 2, comprising the more specific step of modulating the at least one ultrasonic  
25 beam by modulating the ultrasonic power absorption

during propagation in accordance with selection of a plurality of frequency dependent absorption coefficients of the medium to develop the at least one desired frequency within the audible frequency range.

5

4. A method as defined in claim 3, including the step of selecting air as the propagating medium.

5. A method as defined in claim 4, comprising the more  
10 specific step of heating the air locally by absorption of ultrasonic power based on a selected frequency dependent absorption coefficient.

6. A method as defined in claim 5, wherein local  
15 absorption of ultrasonic energy generates (i) local expansion of the air which radiates as a local monopole audio source, and (ii) local radiation pressure which exerts a local force on the air causing local radiation as dipole audio source.

20

7. A method as defined in claim 6, comprising the further step of superimposing sound pressure from the respective local monopole and local dipole sources for directional amplification of sound along the ultrasonic  
25 beam.



8. A method as defined in claim 1, comprising the more specific step of modulating the at least one ultrasonic beam by amplitude modulation.
- 5 9. A method as defined in claim 1, comprising the more specific step of modulating the at least one ultrasonic beam by frequency modulation.
- 10 10. A method as defined in claim 1, comprising the more specific step of emitting a single ultrasonic beam as the carrier source without generating a second ultrasonic beam which could interfere to produce other forms of sonic output.
- 15 11. A method as defined in claim 1, comprising the more specific step of emitting a broad-band ultrasonic frequency beam.
- 20 12. A method as defined in claim 1, further comprising the step of emitting parallel beams of at least one ultrasonic frequency and processing each beam in accordance with the steps of claim 1.
- 25 13. A method as defined in claim 1, further comprising the step of emitting a separate monopole source in

combination with the combined monopole and dipole sources being modulated by variation of absorption.

14. A device for propagating directed audible sound  
5 from an ultrasonic emitter, comprising:

a) an ultrasonic emitter for emitting at least one ultrasonic beam as a carrier source for the audible sound to be propagated;

b) modulating means coupled to the emitter for  
10 controlling variation of absorption of ultrasonic energy along the beam within a propagating medium to develop a virtual array of monopole and dipole radiating sources operable within an audible frequency range;

c) an audio signal source coupled to the modulating  
15 means for providing a desired audio signal; and

d) power control means coupled to the modulating means for developing absorption of the ultrasonic power along the beam at different power levels corresponding to at least one desired frequency within the audible  
20 frequency range to propagate audible sound waves having a primary direction of propagation along the beam.

15. An apparatus as defined in claim 14, further comprising variable frequency selector means coupled to  
25 the modulating means for modulating the ultrasonic power absorption during propagation in accordance with

16

selection of a plurality of frequency dependent absorption coefficients of the medium to develop the at least one desired frequency within the audible frequency range.

5

16. An apparatus as defined in claim 14, wherein the ultrasonic emitter includes means for propagating the ultrasonic frequency in air as the propagating medium.

10 17. An apparatus as defined in claim 14, comprising a plurality of emitter aligned in parallel relationship.

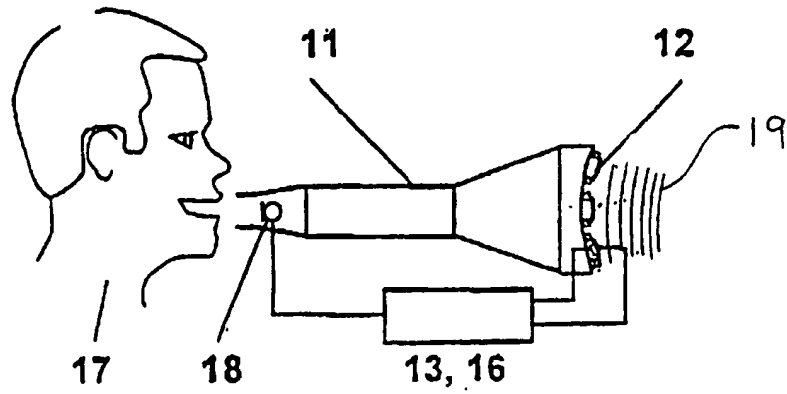
18. An apparatus as defined in claim 14, wherein the emitter comprises at least one piezoelectric transducer  
15 for emitting ultrasonic frequencies.

19. An apparatus as defined in claim 14, wherein the emitter comprises a pneumatic radiator for propagating ultrasonic frequencies.

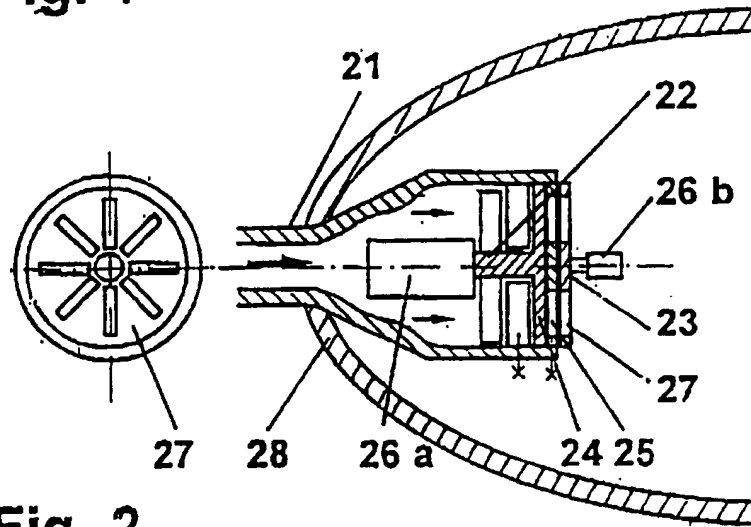
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20. An apparatus as defined in claim 19, wherein the pneumatic radiator comprises both an interrupter unit and a compressor unit as part of a system for generating high power ultrasonic output.

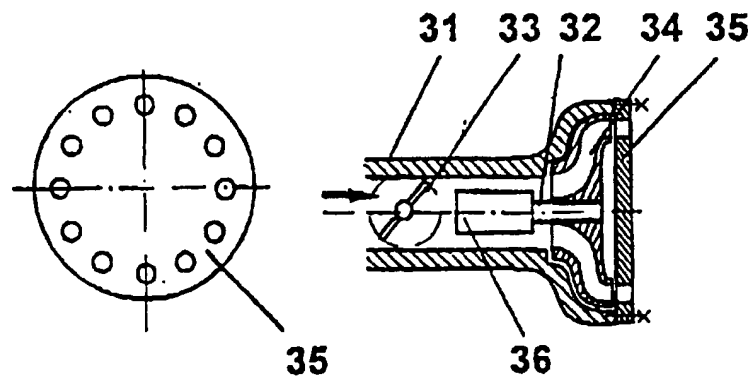
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**Fig. 1**



**Fig. 2**



**Fig. 3**

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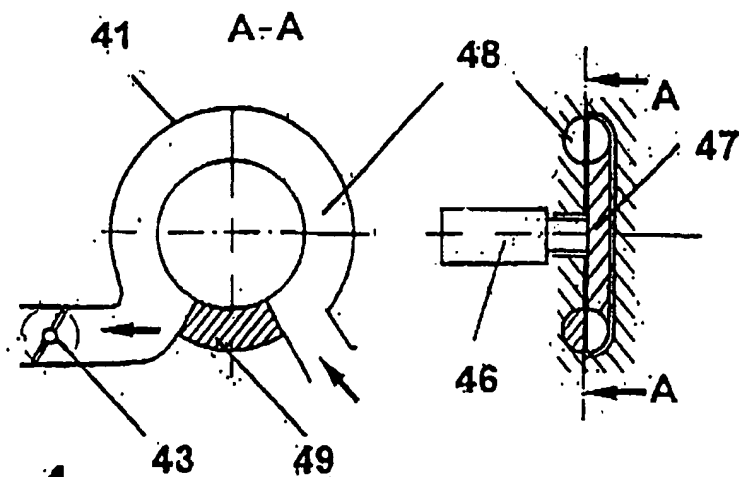


Fig. 4

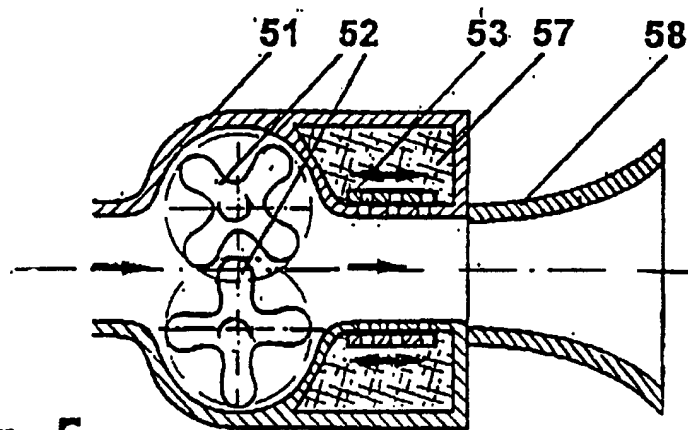


Fig. 5

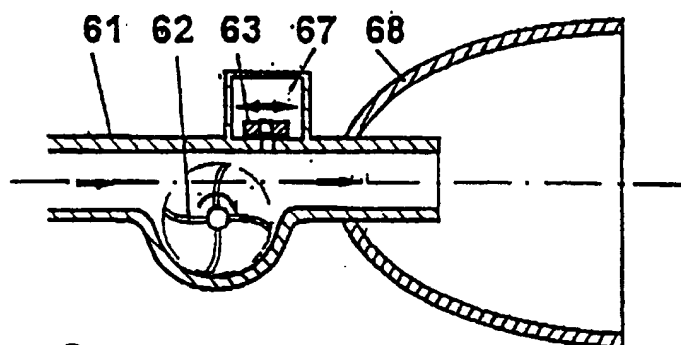


Fig. 6

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/12392

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H04B 3/00

US CL : 381/77, 79, 82

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

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U.S. : 381/77, 79, 82

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
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NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 1,616,639 A (SPRAGUE) 08 February 1927, see Figures 1 and 2, and page 1, line 82 to page 3, line 84, and page 3, lines 109-124.	1-8,12-19
X	US 1,951,669 A (RAMSEY) 20 March 1934, see Figures 1-8 and page 2, line 130 to page 3, line 45.	1-7, 9
X	US 2,461,344 A (OLSON) 08 February 1949, see Figures 1-7, column 1, lines 43-55, and column 3, lines 26-51.	1-8,10, 14-16
X	US 3,398,810 A (CLARK, III) 27 August 1968, see Figures 1-8A, column 1, lines 31-42, and column 2, lines 44-69.	1-9

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

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